1 2 3 4 5 6 7 8 9 UNITED STATES BANKRUPTCY COURT 10 WESTERN DISTRICT OF WASHINGTON AT SEATTLE 11 In re: Case No. 16-11767-CMA 12 13 NORTHWEST TERRITORIAL MINT, LLC, ORDER PURSUANT TO SECTION 105 OF THE BANKRUPTCY CODE 14 Debtor. AND BANKRUPTCY RULES 7023 AND 9019 (I) PRELIMINARILY 15 APPROVING SETTLEMENT, (II) 16 **CERTIFYING A CLASS OF WARN ACT CLAIMANTS FOR** 17 SETTLEMENT PURPOSES ONLY, (III) APPOINTING SETTLEMENT 18 **CLASS COUNSEL AND** 19 SETTLEMENT CLASS REPRESENTATIVE, (IV) 20 APPROVING FORM AND MANNER OF NOTICE TO SETTLEMENT 21 **CLASS MEMBERS OF CLASS CERTIFICATION AND** 22 SETTLEMENT, (V) SCHEDULING A 23 FAIRNESS HEARING TO **CONSIDER FINAL APPROVAL OF** 24 SETTLEMENT, AND (VI) **GRANTING RELATED RELIEF** 25 26

PRELIMINARY ORDER ON JOINT MOTION FOR APPROVAL OF CLASS SETTLEMENT AND RELATED RELIEF- 1 501748660 v7

This Matter having come before the Court on the Joint Motion of Mark Calvert (the "Trustee") on behalf of Northwest Territorial Mint, LLC (the "Debtor") and Brittany Konkel (for purposes of the Settlement only the "Class Claimant"), on her own behalf and on behalf of others similarly situated, and counsel for the Class Claimant (for purposes of the Settlement only the "Settlement Class Counsel"), pursuant to Section 105 of the Bankruptcy Code and Bankruptcy Rules 9019 and 7023 for the entry of an Order: (1) approving the Settlement Agreement (the "Settlement") pursuant to Bankruptcy Rule 9019; (2) preliminarily approving the Settlement pursuant to Bankruptcy Rule 7023; (3) certifying the Settlement Class for settlement purposes only, including the appointment of Lankenau & Miller, LLP and The Gardner Firm, P.C. as Settlement Class Counsel and Brittany Konkel as Settlement Class Representative; (4) approving the form and manner of notice of the Settlement to the members of the Settlement Class (the "Class Notice"); (5) scheduling a fairness hearing to consider final approval of the Settlement (the "Fairness Hearing"); (6) finally approving the Settlement following the fairness hearing (the "Final Settlement Order"); and (7) granting related relief (the "Joint Motion"), submitted by the Parties; and the Court having considered the Joint Motion and any opposition thereto; and the Court having found that proper and sufficient notice of the Joint Motion has been given and that no further notice of the Joint Motion is required except as set forth herein; and that based on the range of possible outcomes and the cost, delay, and uncertainty associated with further litigation, the Settlement is reasonable and costeffective, and preliminary approval of the Settlement is warranted and in the best interest of the Estate:

## IT IS HEREBY ORDERED THAT:

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<sup>&</sup>lt;sup>1</sup> A copy of the Settlement Agreement is attached to the Joint Motion as <u>Exhibit A</u>. Unless otherwise defined herein, capitalized terms have the meanings provided in the Settlement Agreement.

- 1. The Court has jurisdiction over this matter pursuant to 28 U.S.C. § 1334.
- 2. This is a core proceeding pursuant to 28 U.S.C. § 157(b)(2).
- 3. The Settlement, as set forth in the Settlement Agreement<sup>2</sup>, is preliminarily approved, subject to final approval at the Fairness Hearing.
- 4. The Settlement Class is certified pursuant to Rule 23 of the Federal Rules of Civil Procedure, as applicable through Rule 7023 of the Federal Rules of Bankruptcy Procedure, and is comprised of the individuals on the Settlement Schedule to the Settlement, who were employed by Debtor until terminated without cause on their part, on or about December 29, 2017, or within thirty days of that date, as part of, or as the reasonably expected consequence of, the mass layoff conducted on or about December 29, 2017, and who do not opt-out (the "Settlement Class Members"); provided, however, that such Settlement Class shall be certified for settlement purposes only.
  - 5. Brittany Konkel is appointed as Settlement Class Representative.
- 6. Lankenau & Miller, LLP and The Gardner Firm, P.C. are appointed Settlement Class Counsel pursuant to Civil Rule 23(c)(1)(B).
  - 7. The Class Notice, substantially in the form annexed hereto, is hereby approved.
- 8. Notice to the Settlement Class Members identified in the Settlement Schedule attached to the Settlement Agreement, by first class mail; postage prepaid, at their last known address is reasonable and the best notice practicable notice under the circumstances and constitutes due and sufficient notice to all potential Settlement Class Members in full compliance with the notice requirements of Civil Rule 23 and such mailing shall be made by Settlement Class Counsel within ten (10) business days following entry of this Order.

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<sup>&</sup>lt;sup>2</sup> The Parties have agreed to modify the Settlement Agreement originally filed with the Court to delete the last sentence in paragraph 11.

- 9. Settlement Class Members who wish to object to the Settlement must send/file any such objection such that it is received by Settlement Class Counsel, Counsel for the Trustee, and the Bankruptcy Court no later than the objection deadline set forth in the Class Notice, which shall be set at forty-five (45) days from the date of mailing.
- 10. Settlement Class Members who wish to opt-out of the Settlement must send/file any such opt-out such that it is received by Settlement Class Counsel no later than the opt-out deadline set forth in the Class Notice, which shall be November 2, 2018.
- 11. On or before November 9, 2018, Settlement Class Counsel shall file and serve a statement under oath listing the names of any individuals who objected to the proposed Settlement or exercised their right to opt-out of the Settlement Class.
- 12. The final Fairness Hearing regarding the Settlement is scheduled to be held on November 16, 2018 at 11:00 a.m. The final Fairness Hearing may be continued from time to time by the Court without further notice other than the announcement of the adjourned date(s) at the final Fairness Hearing or any continued hearing.
- 13. The Court shall retain jurisdiction over all matters arising pursuant to or related to the relief granted by this Order.

///END OF ORDER///

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	PRELIMINARY ORDER ON JOINT MOTION FOR

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